

# Form 603

Corporations Act 2001

Section 671B

## Notice of initial substantial holder

To Company Name/Scheme BARD1 LIFE SCIENCES LIMITED

ACN/ARSN ACN 009 070 384

### 1. Details of substantial holder (1)

Name Credit Suisse Holdings (Australia) Limited (on behalf of Credit Suisse Group AG and its affiliates)

ACN/ARSN (if applicable) 008 496 713

The holder became a substantial holder on 12-Feb-2021

### 2. Details of voting power

The total number of votes attached to all the voting shares in the company or voting interests in the scheme that the substantial holder or an associate (2) had a relevant interest (3) in on the date the substantial holder became a substantial holder are as follows:

Class of securities (4)	Number of securities	Persons' votes (5)	Voting power (6)
Common Stock	4,295,414	4,295,414	5.38%

### 3. Details of relevant interests

The nature of the relevant interest the substantial holder or an associate had in the following voting securities on the date the substantial holder became a substantial holder are as follows:

Holder of relevant interest	Nature of relevant interest (7)	Class and number of securities
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Holder of securities subject to an obligation to return under a securities lending agreement	4,290,414 Common Stock
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	Holder of securities subject to an obligation to return under a securities lending agreement	5,000 Common Stock

### 4. Details of present registered holders

The persons registered as holders of the securities referred to in paragraph 3 above are as follows:

Holder of relevant interest	Registered holder of securities	Person entitled to be registered as holder (8)	Class and number of securities
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	CS Fourth Nominees Pty Limited (ACN 069 126 432)	Credit Suisse Equities (Australia) Limited	4,290,414 Common Stock
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	CS Third Nominees Pty Limited (ACN 007 053 849)	Credit Suisse Securities (Europe) Limited	5,000 Common Stock

### 5. Consideration

The consideration paid for each relevant interest referred to in paragraph 3 above, and acquired in the 4 months prior to the day that the substantial holder became a substantial holder is as follows:

Holder of relevant interest	Date of acquisition	Consideration (9)		Class and number of securities
		Cash	Non-cash	
Credit Suisse Equities (Australia) Limited	21-Oct-20	1,300.0 AUD		50,000 Common Stock
Credit Suisse Equities (Australia) Limited	22-Oct-20	1,084.69 AUD		-41,719 Common Stock
Credit Suisse Equities (Australia) Limited	26-Oct-20	639.68 AUD		-23,692 Common Stock
Credit Suisse Equities (Australia) Limited	26-Oct-20	1,350.0 AUD		-50,000 Common Stock
Credit Suisse Equities (Australia) Limited	3-Nov-20	1,021.63 AUD		-40,865 Common Stock
Credit Suisse Equities (Australia) Limited	11-Nov-20	1,608.53 AUD		64,341 Common Stock
Credit Suisse Equities (Australia) Limited	12-Nov-20	1,250.0 AUD		50,000 Common Stock
Credit Suisse Equities (Australia) Limited	17-Nov-20	4,038.25 AUD		161,530 Common Stock
Credit Suisse Equities (Australia) Limited	17-Nov-20	4,000.0 AUD		160,000 Common Stock
Credit Suisse Equities (Australia) Limited	20-Nov-20	4,160.0 AUD		-160,000 Common Stock
Credit Suisse Equities (Australia) Limited	23-Nov-20	1,250.0 AUD		50,000 Common Stock
Credit Suisse Equities (Australia) Limited	24-Nov-20	1,250.0 AUD		50,000 Common Stock
Credit Suisse Equities (Australia) Limited	25-Nov-20	2,350.0 AUD		100,000 Common Stock
Credit Suisse Equities (Australia) Limited	26-Nov-20	2,675.50 AUD		116,326 Common Stock
Credit Suisse Equities (Australia) Limited	30-Nov-20	2,200.0 AUD		100,000 Common Stock
Credit Suisse Equities (Australia) Limited	1-Dec-20	1,200.0 AUD		-50,000 Common Stock
Credit Suisse Equities (Australia) Limited	2-Dec-20	1,150.0 AUD		-50,000 Common Stock
Credit Suisse Equities (Australia) Limited	3-Dec-20	Stock Consolidation		2,252,452 Common Stock
Credit Suisse Equities (Australia) Limited	3-Dec-20	Stock Consolidation		-1 Common Stock
Credit Suisse Equities (Australia) Limited	3-Dec-20	Stock Consolidation		-3,500,000 Common Stock
Credit Suisse Equities (Australia) Limited	7-Dec-20	4,518.39 AUD		6,053 Common Stock
Credit Suisse Equities (Australia) Limited	7-Dec-20	Stock Consolidation		116,666 Common Stock

Credit Suisse Equities (Australia) Limited	7-Dec-20	Stock Consolidation		-75,084 Common Stock
Credit Suisse Equities (Australia) Limited	8-Dec-20	2,660.43 AUD		3,496 Common Stock
Credit Suisse Equities (Australia) Limited	9-Dec-20	Stock Consolidation		14,385 Common Stock
Credit Suisse Equities (Australia) Limited	9-Dec-20	Stock Consolidation		13,000 Common Stock
Credit Suisse Equities (Australia) Limited	9-Dec-20	Stock Consolidation		6,544 Common Stock
Credit Suisse Equities (Australia) Limited	9-Dec-20	Stock Consolidation		5,227 Common Stock
Credit Suisse Equities (Australia) Limited	9-Dec-20	Stock Consolidation		-16,333 Common Stock
Credit Suisse Equities (Australia) Limited	9-Dec-20	Stock Consolidation		-12,328 Common Stock
Credit Suisse Equities (Australia) Limited	9-Dec-20	Stock Consolidation		-4,451 Common Stock
Credit Suisse Equities (Australia) Limited	9-Dec-20	Stock Consolidation		-3,500 Common Stock
Credit Suisse Equities (Australia) Limited	9-Dec-20	Stock Consolidation		2 Common Stock
Credit Suisse Equities (Australia) Limited	9-Dec-20	7,567.79 AUD		9,793 Common Stock
Credit Suisse Equities (Australia) Limited	10-Dec-20	5,575.80 AUD		-6,936 Common Stock
Credit Suisse Equities (Australia) Limited	14-Dec-20	3,379.64 AUD		-4,452 Common Stock
Credit Suisse Equities (Australia) Limited	14-Dec-20	1,123.93 AUD		1,583 Common Stock
Credit Suisse Equities (Australia) Limited	15-Dec-20	5,204.04 AUD		-6,884 Common Stock
Credit Suisse Equities (Australia) Limited	16-Dec-20	4,074.72 AUD		-5,518 Common Stock
Credit Suisse Equities (Australia) Limited	17-Dec-20	3,780.49 AUD		-5,232 Common Stock
Credit Suisse Equities (Australia) Limited	17-Dec-20	Stock Consolidation adjustment		1 Common Stock
Credit Suisse Equities (Australia) Limited	18-Dec-20	1,451.88 AUD		-1,962 Common Stock
Credit Suisse Equities (Australia) Limited	21-Dec-20	2,371.40 AUD		-3,340 Common Stock
Credit Suisse Equities (Australia) Limited	23-Dec-20	1,974.83 AUD		-3,015 Common Stock
Credit Suisse Equities (Australia) Limited	29-Dec-20	1,056.69 AUD		-1,589 Common Stock
Credit Suisse Equities (Australia) Limited	6-Jan-21	1,177.19 AUD		-1,757 Common Stock

Credit Suisse Equities (Australia) Limited	13-Jan-21	674.38 AUD		-1,079 Common Stock
Credit Suisse Equities (Australia) Limited	15-Jan-21	1,640.21 AUD		2,667 Common Stock
Credit Suisse Equities (Australia) Limited	19-Jan-21	1,653.54 AUD		-2,667 Common Stock
Credit Suisse Equities (Australia) Limited	19-Jan-21	739.20 AUD		-1,155 Common Stock
Credit Suisse Equities (Australia) Limited	28-Jan-21	1,312.80 AUD		2,188 Common Stock
Credit Suisse Equities (Australia) Limited	2-Feb-21	502.20 AUD		-810 Common Stock
Credit Suisse Equities (Australia) Limited	5-Feb-21	8,455.78 AUD		13,698 Common Stock
Credit Suisse Equities (Australia) Limited	8-Feb-21	2,214.35 AUD		3,305 Common Stock
Credit Suisse Equities (Australia) Limited	8-Feb-21	31,136.51 AUD		48,470 Common Stock
Credit Suisse Equities (Australia) Limited	9-Feb-21	2,345.0 AUD		3,500 Common Stock
Credit Suisse Equities (Australia) Limited	10-Feb-21	982.50 AUD		-1,500 Common Stock
Credit Suisse Equities (Australia) Limited	10-Feb-21	21,134.37 AUD		-31,460 Common Stock
Credit Suisse Equities (Australia) Limited	10-Feb-21	1,337.12 AUD		1,952 Common Stock
Credit Suisse Equities (Australia) Limited	11-Feb-21	12,517.33 AUD		-18,829 Common Stock
Credit Suisse Equities (Australia) Limited	11-Feb-21	1,517,252.58 AUD		1,069,453 Common Stock
Credit Suisse Equities (Australia) Limited	11-Feb-21	1,152,920.27 AUD		778,630 Common Stock
Credit Suisse Equities (Australia) Limited	12-Feb-21	12,397.0 AUD		-18,160 Common Stock
Credit Suisse Equities (Australia) Limited	12-Feb-21	2,375.55 AUD		-3,304 Common Stock
Credit Suisse Equities (Australia) Limited	12-Feb-21	3,005,913.29 AUD		1,635,600 Common Stock
Credit Suisse Equities (Australia) Limited	12-Feb-21	13,933.31 AUD		7,751 Common Stock
Credit Suisse Equities (Australia) Limited	12-Feb-21	1,429,456.02 AUD		777,795 Common Stock
Credit Suisse Securities (Europe) Limited	12-Feb-21	Refer to Annexure A of Notice	Stock borrowed under OSLA	5,000 Common Stock

**6. Associates**

The reasons the persons named in paragraph 3 above are associates of the substantial holder are as follows:

Name and ACN/ARSN (if applicable)	Nature of association
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	Related Body Corporate
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Related Body Corporate

**7. Addresses**

The addresses of persons named in this form are as follows:

Name	Address
Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
CS Third Nominees Pty Limited (ACN 007 053 849)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Equities (Australia) Limited (ACN 068 232 708)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Fourth Nominees Pty Limited (ACN 069 126 432)	Level 31, Gateway, 1 Macquarie Place, Sydney NSW 2000, Australia
Credit Suisse Securities (Europe) Limited (ARBN 099554131)	One Cabot Square, London, E14 4QJ

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**Signature**

print name	Winston Loke	capacity	Authorised Signatory
sign here		date	17-Feb-2021

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Annexure "A"

This is Annexure "A" referred to in the Form 603 "Notice of initial substantial holder"

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**Signature**

Credit Suisse Holdings (Australia) Limited (ACN 008 496 713)

print name	Winston Loke	capacity	Authorised Signatory
<b>sign here</b>		date	17-Feb-2021

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## Prescribed information

### Schedule

Type of agreement	Overseas Securities Lending Agreement
Parties to agreement	Credit Suisse Securities (Europe) Limited and Barclays Capital Securities Limited
Transfer date	12-Feb-2021
Holder of voting rights	Borrower
Are there any restrictions on voting rights?	Yes
If yes, detail	Voting rights to be exercised in accordance with lender's instructions provided such instructions are received 7 days prior.
Scheduled return date (if any)	N/A
Does the borrower have the right to return early?	Yes
If yes, detail	At Will
Does the lender have the right to recall early?	Yes
If yes, detail	With 4 business days prior notice or earlier upon agreement
Will the securities be returned on settlement?	Yes
If yes, detail any exceptions	If an event of default occurs in respect of either the lender or the borrower, the obligation of the borrower to deliver the securities to the lender will be netted off against the obligation of the lender to return securities or cash held by the lender as collateral.